Jim Daffron

Emergency Cease and Desist Order

DATE: December 17, 2001 RESPONDENT: Jim Daffron of Wichta, Kansas ORDER: Emergency Cease and Desist Order issued to Daffron for allegedly advertising in the *Wichita Eagle* offering Kansas residents the opportunity to invest \$25,000 and receive 2.9% of the fractional working interest in his oil and gas lease located in south central Kansas. This investment opportunity is not registered for offer or sale under the Kansas Securities Act, and Daffron is not registered as an agent or broker/dealer under the Kansas Securities Act.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the North American Securities Administrators Association website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.